



01/31/01

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02-02-01

PTO/SB/05 (08/00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No	050508-1030
First Inventor	John D. Roback
Title	IMMUNOLOGICAL ASSAY SYSTEM AND METHOD
Express Mail Label No	EL492153624US



APPLICATION ELEMENTS		ADDRESS TO:		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<input type="checkbox"/> APPLICANT REQUESTS EARLY PUBLICATION UNDER 37 CFR 1.219 (additional fee)					
1.	<input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)			
2.	<input checked="" type="checkbox"/>	Applicant claims small entity status			
3.	<input checked="" type="checkbox"/>	Specification (preferred arrangement set forth below)	[Total Pages	20]
4.	<input checked="" type="checkbox"/>	Drawing(s) (35 USC 113)	[Total Sheets	3]
5.		Oath or Declaration	[Total Pages	2]
a.	<input checked="" type="checkbox"/>	Newly Executed (original or copy)			
b.	<input type="checkbox"/>	Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed)			
i.	<input type="checkbox"/>	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			
6.	<input type="checkbox"/>	Application Data Sheet See 37 CFR 1.76			
Assignee Name and Address (if applicable)		Emory University, Office of Technology Transfer 2009 Ridgewood Drive Atlanta, Georgia 30322			

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: / .

Prior application information: Examiner _____

For CONTINUATION OR DIVISIONAL APPS only the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 24504 (Insert Customer No. or Attach bar code label here) or Correspondence address below

NAME	Scott A. Horstemeyer Thomas, Kayden, Horstemeyer & Risley, L.L.P.				
ADDRESS	100 Galleria Parkway Suite 1750				
CITY	Atlanta	STATE	Georgia	ZIP CODE	30339-5948
COUNTRY	U.S.A.	TELEPHONE	770-933-9500	FAX	770-951-0931

Name (Print/Type)	Scott A. Horstemeyer	Registration No. (Attorney/Agent)	34,183	
Signature			Date	01/31/01

Burden Hour Statement This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application Washington DC 20231

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT | (\$ 476.00)

Complete If Known

Application Number	To be assigned
Filing Date	January 31, 2001
First Named Inventor	John D. Roback
Examiner Name	To be assigned
Group / Art Unit	To be assigned
Attorney Docket No.	050508-1030

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge to the following Deposit Account.

Deposit Account Number	20-0778
Deposit Account Name	Thomas, Kayden, Horstemeyer Risley

Charge all indicated fees and any additional fee required or credit any overpayment.
 Charge any additional fee required and requested to credit any overpayment Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Money Order Credit Card
FEE CALCULATION1. **BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$355
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
SUBTOTAL (1)					(\$355)

2. **EXTRA CLAIM FEES**

		Fee from Extra Claims	Fee from below	Fee Paid
Total Claims	29	-20**=	9	x 9.00 = 81
Independent Claims	3	-3**=	0	x 40.00 = 0
Multiple Dependent			270.00	= 0

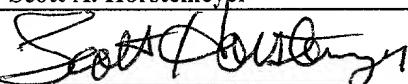
**or number previously paid, if greater; For Reissue, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent Claims in excess of 3
104	270	204	135	Multiple dependent claims in excess of 3
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$81)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **40**

Complete (if applicable)

SUBMITTED BY	Scott A. Horstemeyer	Reg Number	34,183
Typed or Printed Name		Date	01/31/01
Signature		Deposit Account User ID	

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John D. Roback and Christopher D. Hillyer

For: IMMUNOLOGICAL ASSAY SYSTEM AND METHOD

CERTIFICATE OF EXPRESS MAIL

Assistant Commissioner for Patents

BOX: Patent Application

Washington, D.C. 20231

Sir:

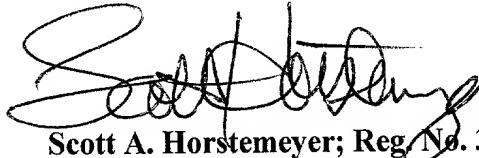
Enclosed for filing in the above case are the following documents:

Return Postcard
Utility Patent Application Transmittal Page
Fee Transmittal Page
Credit Card Payment Authorization Form for \$476.00
Utility Patent Application Consisting Of:

13 Pages of Specification
6 Pages of Claims
1 Pages of Abstract
3 Pages of Formal Drawings
Declaration and Power of Attorney (2 Pages)
Assignment and Assignment Recordation Sheet (5 Pages)
Information Disclosure Statement
IDS Form PTO-1449 and Copies of Cited Prior Art (A-D)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,



Scott A. Horstemeyer; Reg. No. 34,183

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**
100 Galleria Parkway, N.W.
Suite 1750
Atlanta, Georgia 30339-5948

Our Docket No: **050508-1030**

I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service "**EXPRESS MAIL POST OFFICE TO ADDRESSEE**" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # EL492153624US.

Date: January 31, 2001



Rhonda Zaffino

EL492153624US